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Assistant Commissioner for Patents  
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Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Robert A. Conant, Jocelyn Nee, Kam Y. Lau and Richard S. Muller

Executed on: Unexecuted

Title of Invention: STAGGERED TORSIONAL ELECTROSTATIC COMBDRIVE AND METHOD OF FORMING  
SAME

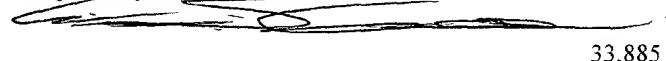
**PATENT APPLICATION FEE VALUE**

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	30	-20	10	\$18.00 each	\$ 180.00
Independent	3	-3	0	\$78.00 each	\$ 0.00
Minimum Fee					\$ 690.00
Multiple Dependency Fee If Applicable (\$260.00)					\$ 0.00
<b>Total</b>					\$ 870.00
50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern (a verified statement as to the applicant's status is attached)					- \$ 435.00
<b>Total Filing Fee</b>					\$ 435.00

Also enclosed:

- ☒ Unsigned Declaration;
- ☒ Information Disclosure Statement, PTO Form 1449; 2 references; and
- ☒ 7 sheets of informal drawings (8 figures).

Respectfully submitted,



33,885

William S. Galliani  
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(Reg. No.)

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.

## **STAGGERED TORSIONAL ELECTROSTATIC COMBDRIVE AND METHOD OF FORMING SAME**

This invention was made with Government support under Grant (Contract) No. EEC-9615774, awarded by the National Science Foundation. The Government has certain rights to this invention.

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### **BRIEF DESCRIPTION OF THE INVENTION**

This invention relates generally to Micro-Electro Mechanical Systems (MEMS). More particularly, this invention relates to a staggered torsional electrostatic combdrive that may be used to control a micromirror or paddle structure for mounted electronic components.

10

### **BACKGROUND OF THE INVENTION**

Micro-Electro Mechanical Systems (MEMS), which are sometimes called micromechanical devices or micromachines, are three-dimensional objects having one or more dimensions ranging from microns to millimeters in size. The devices are generally fabricated utilizing semiconductor processing techniques, such as lithographic technologies.

There are on going efforts to develop MEMS with scanning mirrors, referred to as scanning micromirrors. It is a goal to use scanning micromirrors in the place of scanning macro-scale mirrors, which are used in a variety of applications. For example, macro-scale mirrors are used in: barcode readers, laser printers, confocal

20



The micromirror of the invention fulfills the potential of micromachined mirrors over conventional scanning mirrors — high scan speed, small size, and low cost with diffraction-limited optical performance. The scan speed of the micromirror is difficult to achieve with large-scale optical scanners, and exceeds the performance  
5 of previously demonstrated micromachined scanning mirrors.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

For a better understanding of the invention, reference should be made to the following detailed description taken in conjunction with the accompanying drawings,  
10 in which:

FIGURE 1 is a perspective view of a simplified Staggered Torsional Electrostatic Combedrive (STEC) of the invention in a resting position.

FIGURE 2 is a perspective view of the simplified STEC of the invention in an activated position.

15 FIGURE 3 is a perspective view of a STEC of the invention in a resting position.

FIGURE 4 illustrates processing steps used to construct a STEC of the invention.

20 FIGURES 5A-5F illustrate the construction of a STEC of the invention in accordance with the processing steps of Figure 4.

FIGURE 6 illustrates an embodiment of the invention with dual mounted moving combteeth and an additional stationary combteeth assembly.

FIGURE 7 illustrates an embodiment of the invention with stacked stationary combteeth assemblies.

25 FIGURE 8 illustrates an embodiment of the invention with dual mounted moving combteeth and stacked stationary combteeth assemblies.

Like reference numerals refer to corresponding parts throughout the drawings.

### **DETAILED DESCRIPTION OF THE INVENTION**

30 Figure 1 illustrates a Staggered Torsional Electrostatic Combedrive (STEC) 20 in accordance with an embodiment of the invention. The STEC 20 includes a stationary combteeth assembly 22 including individual combteeth 24 formed on a



combteeth assembly 30 turns into the page, causing the far side of the mirror 40 to turn into the page and the near side of the mirror 40 to lift out of the page.

The STEC system 20 may be implemented with a combteeth thickness, as shown with arrow 50 in Figure 1, of between 10 and 500 microns, preferably approximately between 50 and 100 microns. Similarly, the thickness of the mirror 40 is between 10 and 500 microns, preferably approximately between 50 and 100 microns. Arrow 51 of Figure 1 illustrates a lateral dimension. The lateral dimension of the mirror 40 is preferably less than 10 millimeters, more preferably between 550 microns and 2000 microns. The gap between individual combteeth is preferably less than 30 microns, preferably approximately between 2 and 10 microns.

The STEC system 20 offers several advantages over other electrostatic-actuator designs. First, the actuator applies torque to the mirror directly — there are no hinges to couple linear motion of an actuator into torsional mirror motion. This greatly simplifies the design of the structure, and makes post-fabrication assembly steps unnecessary.

Second, the actuator starts in an unbalanced state and is capable of static mirror positioning as well as resonant scanning. Previously demonstrated balanced torsional electrostatic actuators have been very promising for resonant operation, but are not capable of static mirror positioning.

Third, the torsional combdrive offers an advantage over gap-closing actuators because the energy density in the combdrive is higher than that in a gap-closing actuator, thereby allowing larger scan angles at high resonant frequencies.

The structure and benefits of the STEC system 20 have been described. Attention now turns to fabrication techniques that may be used to construct the device. Figure 4 illustrates processing steps 50 used in accordance with an embodiment of the invention. The first processing step shown in Figure 4 is to oxidize a bottom wafer and a top wafer (step 52).

By way of example, the bottom silicon wafer may be oxidized in steam at 1000°C to grow 0.2  $\mu\text{m}$  of thermal oxide. The top silicon wafer may be oxidized in steam at 1000°C to grow 1.5  $\mu\text{m}$  of thermal oxide. Advantageously, the top silicon wafer may be formed of single-crystal silicon.

The next processing step shown in Figure 4 is to bond the stationary combteeth of the bottom wafer to the bottom surface of the top wafer (step 70). Preferably, this bonding process includes a step of cleaning each wafer prior to bonding and of annealing the bonded wafer pair at approximately 1100°C for approximately one hour to increase the bond strength. The result of this processing is shown in Figure 5B. In particular, Figure 5B illustrates a top wafer 80 bonded to the bottom wafer 60 through an oxide layer 62.

The next processing step of Figure 4 is to polish the top wafer (step 90). In particular, the top wafer is ground and polished to leave a 50  $\mu\text{m}$ -thick layer of silicon above the oxide interface 62. Figure 5C illustrates the result of this processing. The figure shows the polished top wafer 82 with a significantly smaller vertical height than the pre-polished top wafer 80 of Figure 5B. The polishing step 90 preferably includes the step of oxidizing the bonded structure at 1100°C in a steam ambient to form, for example, a 1.1  $\mu\text{m}$ -thick oxide layer on the top and bottom surfaces of the bonded structure.

The next processing associated with Figure 4 is to form an alignment window (step 92). The alignment window is used to provide an alignment reference for the subsequent patterns and the buried combteeth. The alignment window is formed by etching a window in the top wafer, with the oxide layer 62 operating as a stop layer.

The next processing step is to form the moving combteeth assembly in the top wafer (step 100). In particular, the front side pattern, which defines the moving combteeth, the mirror, the torsion hinges, and the anchor is then patterned and etched into the top oxide layer. The pattern is subsequently etched into the silicon wafer 82 (as discussed below in connection with step 104). The alignment of this step is critical because misalignment between the moving combteeth and the fixed combteeth can

lead to instability in the torsional combdrive. By using a wafer stepper, alignment accuracy of better than 0.2  $\mu\text{m}$  between the buried pattern and the frontside pattern may be achieved.

The next processing step in Figure 4 is to etch the backside hole in the bottom  
5 wafer (step 102). In particular, the silicon 60 on the backside of the bottom wafer is patterned with the hole layer, and the backside hole 94 is etched in the bottom wafer to open an optical path underneath the micromirror. Figure 5D illustrates a backside hole 94 formed in the bottom wafer 60.

The next processing step in Figure 4 is to etch the top wafer (step 104). In  
10 particular, this step entails etching the top wafer 82 using the previously patterned top oxide layer as an etch mask. This processing results in the structure of Figure 5E. Figure 5E illustrates individual combteeth 32 of the moving combteeth assembly 30. The figure also illustrates the mirror 40.

The next processing step shown in Figure 4 is to release the device (step 106).  
15 The structure may be released in a timed HF etch to remove the sacrificial oxide film below the combteeth and mirror. This results in the structure of Figure 5F.

Figure 4 illustrates a final optional step of depositing a reflective film (step  
20 108). That is, a 100 nm-thick aluminum film may be evaporated through gap 94 onto the bottom of the mirror to increase the reflectivity for visible light. The structure of the STEC micromirror of the invention allows access to the backside of the mirror surface, thereby allowing for this processing step. Instead of a reflective film, a multi-layered optical filter may be deposited.

As shown in Figure 5F, a bottom transparent plate 93 may be attached to the  
25 bottom wafer 60 and a top transparent plate 97 with a spacer 95 may be attached to the silicon wafer 82. The transparent plates may be glass or quartz. Thus, during operation, light passes through a transparent plate, hits the mirror, and reflects back through the transparent plate.

The fabrication of the device has now been described. Attention now turns to  
30 the performance achieved by a device formed in accordance with an embodiment of the invention. The performance of the device will be discussed in the context of optical resolution. The optical resolution — defined as the ratio of the optical-beam divergence and the mirror scan angle — is an essential performance metric for a



scanning mirror. For a perfectly flat mirror under uniform illumination, the farfield intensity distribution is an Airy pattern, which has a full-width-half-max half-angle beam divergence  $\alpha$  (the resolution criteria used for video displays) given by

$$\alpha = \frac{1.03\lambda}{D} \quad [1]$$

5 where  $\lambda$  is the wavelength of the incident light and  $D$  is the mirror diameter. The resulting optical resolution  $N$  is

$$N = \frac{4\theta D}{\alpha} = \frac{4\theta D}{1.03\lambda} \quad [2]$$

where  $\theta$  is the mechanical half-angle mirror scan (the total optical scan is  $4\theta$ ).

Dynamic mirror deformation can also contribute to beam divergence, thereby decreasing the optical resolution. For a mirror where the torsion hinge is the dominant compliance, the nonplanar surface deformation  $\delta$  of a rectangular scanning mirror of half-length  $L$  with angular acceleration  $(2\pi f)^2\theta$  (where  $f$  is the scan frequency) is

$$\delta = 0.183 \frac{\rho(1-v^2)(2\pi f)^2 \theta}{Et^2} L^5 \quad [3]$$

where  $\rho$  is the material density,  $\nu$  is Poisson's ratio,  $E$  is Young's modulus, and  $t$  is the  
15 mirror thickness.

The Rayleigh limit, the maximum amount of surface deformation tolerable without significant degradation in image quality, allows a peak-to-valley surface deformation of  $\lambda/4$ . For a 550  $\mu\text{m}$ -long (275  $\mu\text{m}$ -half-length) rectangular single-crystal-silicon mirror of thickness 50  $\mu\text{m}$ , half-angle mechanical scan  $6.25^\circ$ , and resonant frequency 34 kHz, the calculated dynamic deformation is 8 nm — much lower than the Rayleigh limit for 655 nm light (164 nm). For comparison, a 550  $\mu\text{m}$ -long surface-micromachined mirror of thickness 1.5  $\mu\text{m}$  maintains the surface flatness within the Rayleigh limit only up to a frequency of 4.6 kHz.

The STEC mirror excels in all critical performance criteria: cost, resolution, scan speed, scan repeatability, size, power consumption, and reliability. The following text discusses measurements of four of these performance criteria for one STEC mirror design.

The surface deformation of the micromirror was characterized using a stroboscopic interferometer. The total deformation measured was less than 30 nm, considerably below the Rayleigh limit, and does not significantly reduce the optical resolution. Characterization tests also demonstrate that the spot size and separation at  
 5 eight different regions across the scan give a measured total optical resolution of 350 pixels. The resolution of a 550  $\mu\text{m}$ -diameter mirror with  $24.9^\circ$  optical scan and 655 nm laser light was near the diffraction-limited resolution of 355 pixels from Eq. [2].

The scan speed of the device of the invention is better than the scan speeds achieved in the prior art. STEC micromirrors have been demonstrated with diameters  
 10 of 550  $\mu\text{m}$  and resonant frequencies up to 42 kHz — almost an order of magnitude faster than commercially available optical scanners. Larger STEC mirrors have also been fabricated (up to 2 mm) with lower resonant frequencies.

The main limitation of macro-scale scanners comes from the dynamic deformation described by Eq.[3] — the dynamic deformation scales as the fifth power  
 15 of the mirror length, so large mirrors scanning at high speeds will have considerable dynamic deformation. For example, a 10 mm-diameter, 1 mm-thick mirror with a mechanical scan of  $\pm 6.25^\circ$  maintains less than 164 nm dynamic deformation (the Rayleigh limit for 655 nm light) up to a frequency of only 2.2 kHz. Large-scale mirrors cannot achieve the speeds demonstrated with the STEC micromirrors without  
 20 severe dynamic deformation or very thick mirrors.

High-speed scanners require more torque than low-speed scanners to reach the same scan angle. In order to generate the torque necessary for large angle, high-frequency operation of the STEC micromirror, relatively high voltages are used. The 550  $\mu\text{m}$ -diameter mirror with a resonant frequency of 34 kHz requires a 171 Vrms  
 25 input sine wave for a total optical scan of  $24.9^\circ$ . To simplify mirror testing and operation, a small ( $1\text{ cm}^3$ ) 25:1 transformer is used, allowing the use of a conventional 0-10 V function generator to drive the scanning mirrors with a sinusoidal waveform of amplitude up to 250 V. The use of the transformer also provides efficient power conversion, so the power consumption of the entire system can be much lower than  
 30 systems requiring high-voltage power supplies and opamps.

This power consumption is the sum of the power dissipation in the drive electronics and the power dissipated by air and material damping. The power consumption due to damping is

$$P = \frac{1}{2} b \theta^2 \omega^2 = \frac{1}{2} \frac{k}{Q} \theta^2 \omega \quad [4]$$

- 5 where  $k$  is the torsional spring stiffness,  $b$  is the torque damping factor,  $\theta$  is the mechanical scan half angle (the total optical scan is  $\pm 2\theta$ ),  $\omega$  is the resonant frequency, and  $Q$  is the resonant quality factor. For the 34 kHz 550  $\mu$ m-diameter mirror scanning 25° optical ( $\pm 6.25^\circ$  mechanical), the calculated stiffness  $k = 3.93 \times 10^{-5}$  Nm/radian, the measured resonant quality factor  $Q = 273$ , so the power consumption  
10 due to damping from Eq. [4] is 0.18 mW. Vacuum packaging can be used to reduce the viscous damping, and thereby decrease the power consumption.

The measured power consumption is 6.8 mW, indicating that the majority of the power consumption is in charging and discharging the parasitic capacitance and losses in the transformer power conversion.

- 15 The STEC micromirror is extremely reliable due to its simple structure. It is predicted that the failure point for the structure will be the torsion hinges (at the point of highest strain). The maximum strain in a 50  $\mu$ m-thick, 15  $\mu$ m-wide, 150  $\mu$ m-long hinge (the hinge used for the 550  $\mu$ m-diameter mirror with resonant frequency of 34 kHz) with a total scan of  $\pm 6.25^\circ$  is approximately 1.8%. Mirrors have been operated at  
20 this level for over 200 million cycles without any noticeable degradation in performance. Wider and longer hinges may be used to reduce strain while retaining the same stiffness.

- The invention has been fully described. Attention now turns to variations of the disclosed technology. Individual STEC micromirrors of the invention can be  
25 combined to form two-dimensional scanners. Advantageously, the capacitance of the combteeth may be used as an integrated mirror-position feedback sensor. An independent comb can be added to the frontside mask to allow capacitive measurement of the mirror position independent of the drive voltage. An independent comb can be added to the frontside mask to allow frequency tuning of the micromirror resonance.

A separate combdrive can be added to the mirror to allow bidirectional scanning. These embodiments are shown in connection with Figures 6-8.

Figure 6 illustrates an embodiment of the invention with a dual-mounted moving combteeth assembly 100. The figure illustrates the previously discussed components of a stationary combteeth assembly 22, a moving combteeth assembly 30, and a mirror or paddle 40. In accordance with this embodiment of the invention, the moving combteeth assembly 30 includes an additional set of combteeth 105. The additional set of combteeth 105 may be attached to the mirror 40, as shown in Figure 6. Alternately, the combteeth 105 may be positioned on the same spine supporting the moving combteeth assembly 30. In other words, in this alternate embodiment, a single spine 34 of the type shown in Figures 1-3 has combteeth extending from both sides of the spine. Figure 6 further illustrates an additional stationary combteeth assembly 103. Applying a voltage between the additional set of combteeth 105 and the additional stationary combteeth assembly 103 causes the mirror 40 to tilt towards the additional stationary combteeth assembly 103.

Figure 7 illustrates an alternate embodiment of the invention which includes a stacked combteeth assembly 110. The figure illustrates the previously discussed components of a stationary combteeth assembly 22, a moving combteeth assembly 30, and a mirror or paddle 40. Positioned over the stationary combteeth assembly 22 is a stacked combteeth assembly 110. Preferably, the stacked combteeth assembly 110 is electrically isolated from the moving combteeth assembly 30 and the stationary combteeth assembly 22. This configuration allows for simplified capacitive sensing by the stacked combteeth assembly 110. The stacked combteeth assembly 110 may also be independently controlled for resonant frequency tuning.

Figure 7 also illustrates a mounted electronic component 112 positioned on the paddle 40. By way of example, the mounted electronic component 112 may be an ultrasonic transducer or an ultrasonic sensor.

Figure 8 illustrates another embodiment of the invention in which the features of Figures 6 and 7 are combined into a single device. In particular, the figure shows the dual-mounted moving combteeth assembly 100 operative in connection with a set of stacked combteeth assemblies 110A and 110B.



IN THE CLAIMS:

1. A staggered torsional electrostatic combdrive, comprising:  
a stationary combteeth assembly; and  
5 a moving combteeth assembly including a mirror and a torsional hinge, said  
moving combteeth assembly being positioned entirely above said stationary combteeth  
assembly by a predetermined vertical displacement during a combdrive resting state.
2. The staggered torsional electrostatic combdrive of claim 1 wherein said mirror  
10 is formed of single-crystal silicon.
3. The staggered torsional electrostatic combdrive of claim 2 wherein individual  
moving combteeth of said moving combteeth assembly are positioned between  
individual stationary combteeth of said stationary combteeth assembly during a  
15 combdrive activation state, and said mirror intersects the plane defined by said  
stationary combteeth during said combdrive activation state.
4. The staggered torsional electrostatic combdrive of claim 3 wherein said mirror  
pivots about said torsional hinge during said combdrive activation state.  
20
5. The staggered torsional electrostatic combdrive of claim 1 wherein said  
predetermined vertical displacement is between 0.2 and 3.0 microns.
6. The staggered torsional electrostatic combdrive of claim 1 wherein said  
25 moving combteeth assembly further includes an anchor, said torsional hinge being  
positioned between said mirror and said anchor.
7. The staggered torsional electrostatic combdrive of claim 1 wherein said  
moving combteeth assembly has a thickness of between 10 and 500 microns.  
30
8. The staggered torsional electrostatic combdrive of claim 7 wherein said  
moving combteeth assembly has a thickness of between 50 and 100 microns.

9. The staggered torsional electrostatic combdrive of claim 1 wherein said mirror has a lateral length of less than 10 millimeters.
10. The staggered torsional electrostatic combdrive of claim 1 wherein said mirror  
5 has a lateral length of between 550 and 2000 microns.
11. The staggered torsional electrostatic combdrive of claim 1 said moving combteeth assembly has a comb tooth gap of between 2-30 microns between individual combteeth of said moving combteeth assembly.  
10
12. The staggered torsional electrostatic combdrive of claim 1 wherein the position of said moving combteeth assembly is adjusted in response to a capacitance value measured between said moving combteeth assembly and said stationary combteeth assembly.  
15
13. The staggered torsional electrostatic combdrive of claim 1 further comprising a stacked combteeth assembly positioned over said stationary combteeth assembly.
14. The staggered torsional electrostatic combdrive of claim 13 wherein the  
20 position of said moving combteeth assembly is adjusted in response to a capacitance value measured between said moving combteeth assembly and said stacked combteeth assembly.
15. The staggered torsional electrostatic combdrive of claim 13 wherein said  
25 stacked combteeth assembly is operated to alter the resonant frequency of said moving combteeth assembly.
16. The staggered torsional electrostatic combdrive of claim 1 wherein said moving combteeth assembly includes a combteeth spine with a first set of individual  
30 combteeth extending in a first direction from said spine and a second set of individual combteeth extending in a second direction from said spine.





a moving combteeth assembly including a paddle and a torsional hinge, said moving combteeth assembly being positioned entirely above said stationary combteeth assembly by a predetermined vertical displacement during a combdrive resting state.

5 25. The staggered torsional electrostatic combdrive of claim 24 wherein said paddle supports a mounted electronic component.

26. The staggered torsional electrostatic combdrive of claim 25 wherein said mounted electronic component is an ultrasonic transducer.

10

27. The staggered torsional electrostatic combdrive of claim 25 wherein said mounted electronic component is an ultrasonic sensor.

28. A method of fabricating a staggered torsional electrostatic combdrive, said  
15 method comprising the steps of:

deep trench etching a stationary combteeth assembly in a first wafer;

bonding a second wafer to said first wafer to form a sandwich including said first wafer, an oxide layer, and said second wafer;

forming a moving combteeth assembly in said second wafer, said moving  
20 combteeth assembly including a paddle and a torsional hinge, said moving combteeth assembly being separated from said first wafer by said oxide layer; and

removing exposed portions said oxide layer to release said staggered torsional electrostatic combdrive.

25 29. The method of claim 28 wherein said forming step includes a first step of etching an external surface oxide layer and a second step of etching said second wafer to form said moving combteeth assembly.

30 30. The method of claim 28 further comprising the step of depositing a reflective film on said paddle.

Figure 1 consists of 12 sub-graphs labeled (a) through (l), each showing a different parameter over a 24-hour period. The parameters are:

- (a)  $\Delta T_{re}$  (°C): Shows a sharp increase from 0 to ~1.5°C at 2h, then a gradual decline.
- (b)  $\Delta T_{sk}$  (°C): Shows a sharp increase from 0 to ~1.5°C at 2h, then a gradual decline.
- (c)  $\Delta T_{re} - \Delta T_{sk}$  (°C): Shows a sharp increase from 0 to ~1.5°C at 2h, then a gradual decline.
- (d)  $\Delta T_{re} - \Delta T_{sk}$  (°C): Shows a sharp increase from 0 to ~1.5°C at 2h, then a gradual decline.
- (e)  $\Delta T_{re} - \Delta T_{sk}$  (°C): Shows a sharp increase from 0 to ~1.5°C at 2h, then a gradual decline.
- (f)  $\Delta T_{re} - \Delta T_{sk}$  (°C): Shows a sharp increase from 0 to ~1.5°C at 2h, then a gradual decline.
- (g)  $\Delta T_{re} - \Delta T_{sk}$  (°C): Shows a sharp increase from 0 to ~1.5°C at 2h, then a gradual decline.
- (h)  $\Delta T_{re} - \Delta T_{sk}$  (°C): Shows a sharp increase from 0 to ~1.5°C at 2h, then a gradual decline.
- (i)  $\Delta T_{re} - \Delta T_{sk}$  (°C): Shows a sharp increase from 0 to ~1.5°C at 2h, then a gradual decline.
- (j)  $\Delta T_{re} - \Delta T_{sk}$  (°C): Shows a sharp increase from 0 to ~1.5°C at 2h, then a gradual decline.
- (k)  $\Delta T_{re} - \Delta T_{sk}$  (°C): Shows a sharp increase from 0 to ~1.5°C at 2h, then a gradual decline.
- (l)  $\Delta T_{re} - \Delta T_{sk}$  (°C): Shows a sharp increase from 0 to ~1.5°C at 2h, then a gradual decline.

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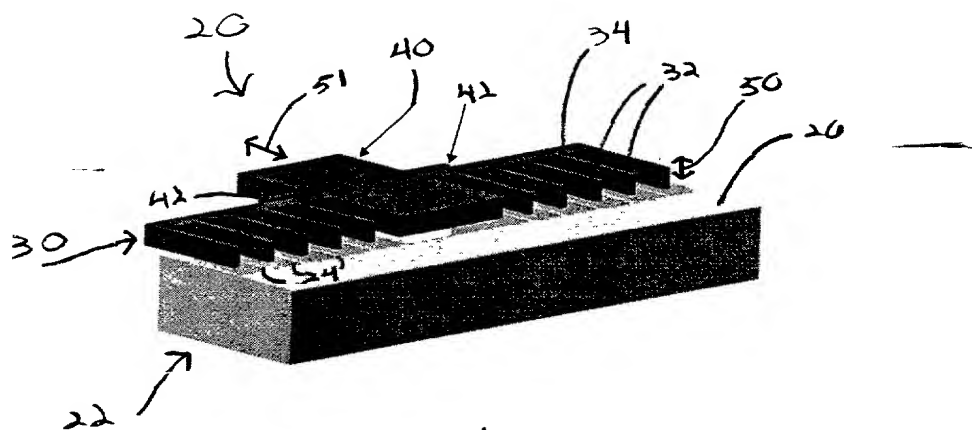


Fig. 1

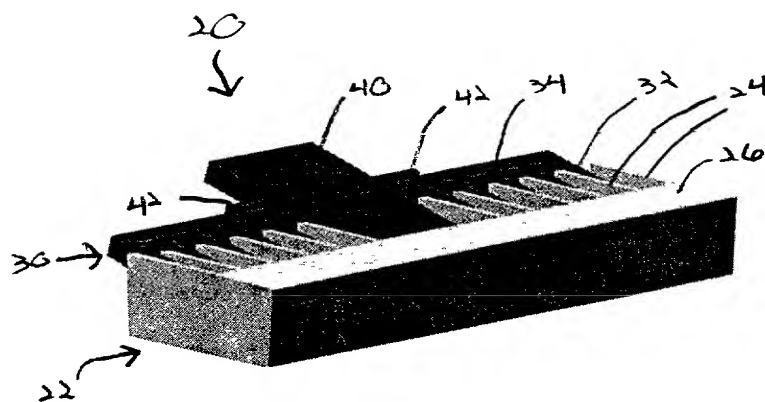


Fig. 2



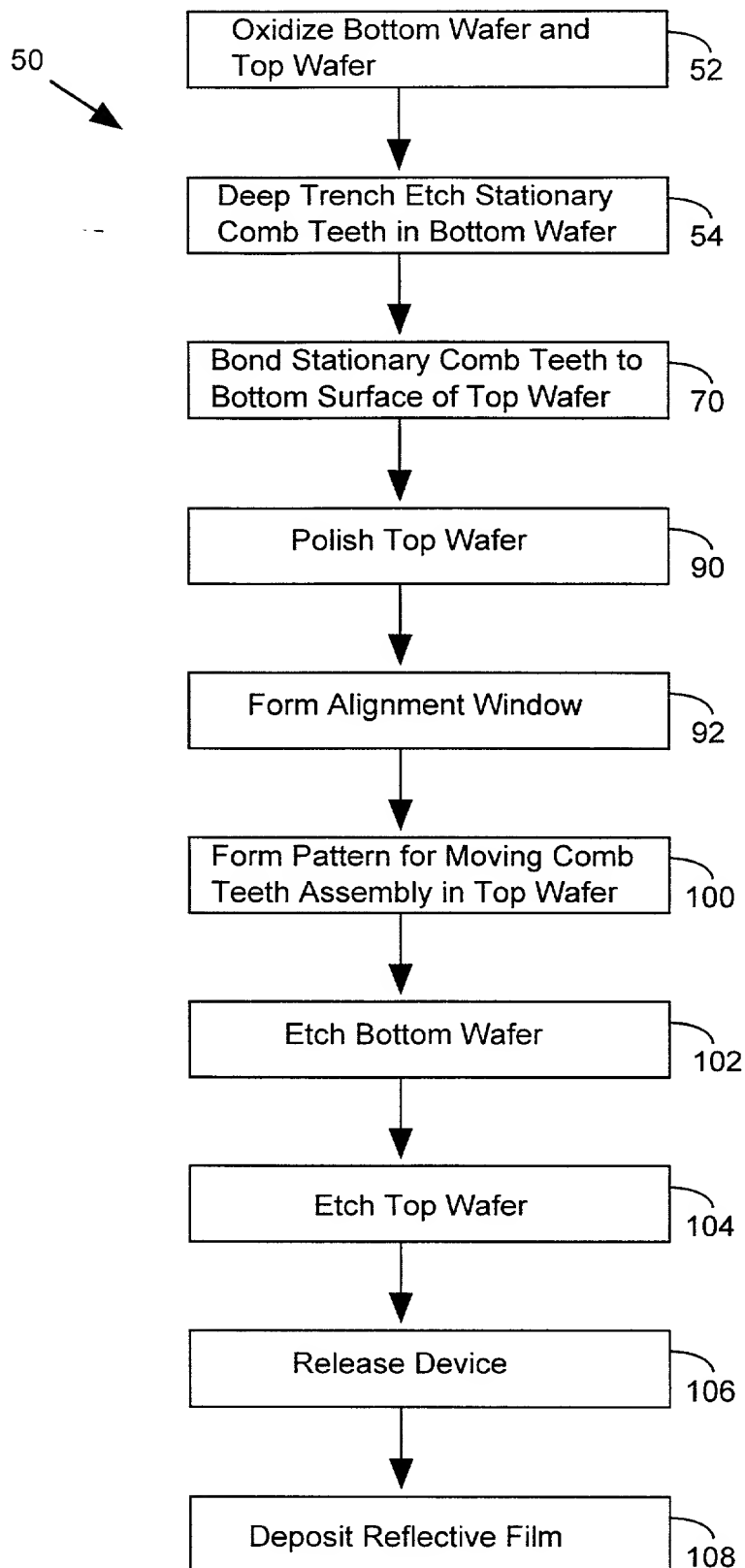


Figure 4



Fig. 5A

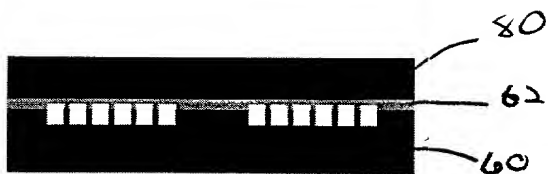


Fig. 5B

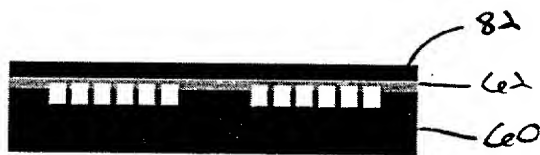


Fig. 5C



Fig. 5D 94

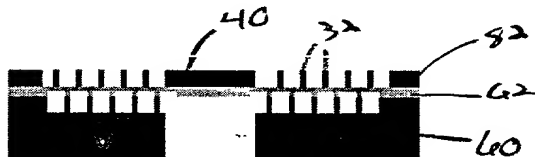


Fig. 5E

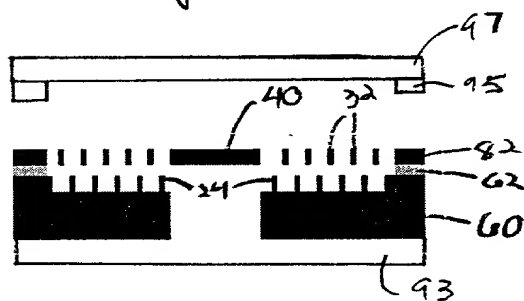


Fig. 5F

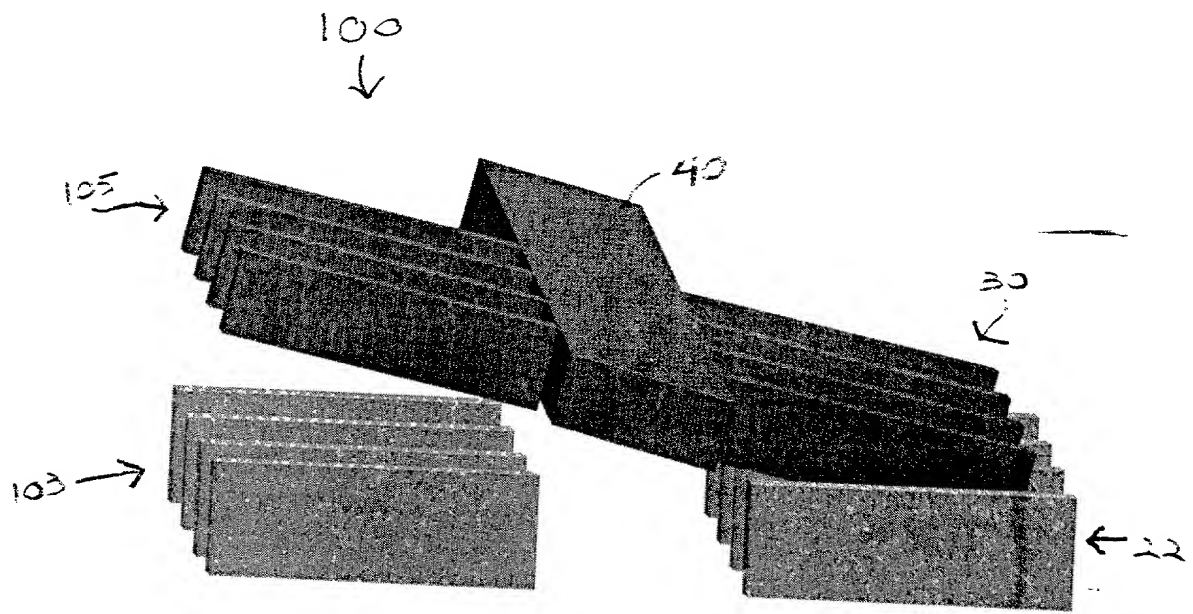


Fig. 6

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100
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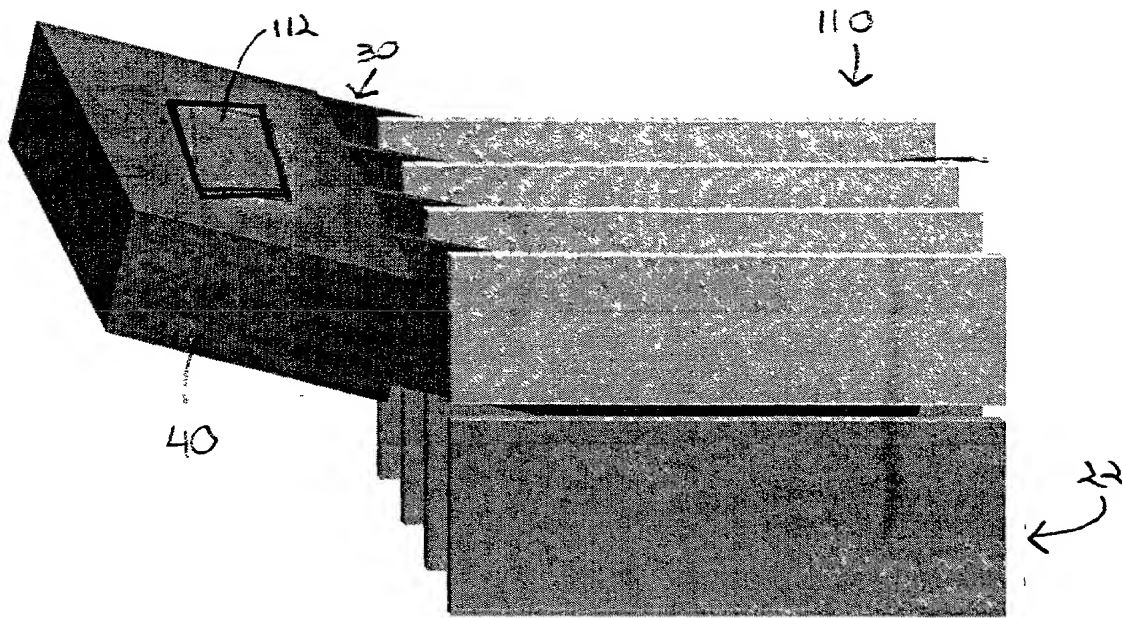


Fig. 7



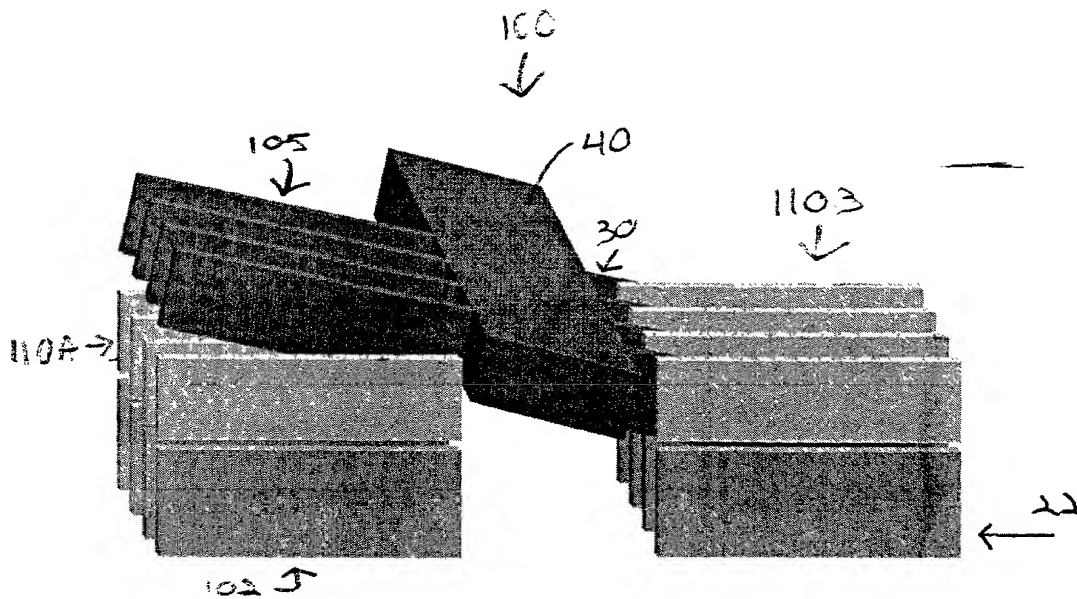


Fig. 8

0054835.053400

## DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled ***Staggered Torsional Electrostatic Combdrive and Method of Forming Same*** the specification of which

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_. (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			<u>Priority Claimed</u>	
_____	_____	_____	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(Number)	(Country)	(Date Filed)		

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

Direct all telephone calls to William S. Galliani at (650) 493-4935. Address all correspondence to:

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3300 Hillview Avenue  
Palo Alto, CA 94304

File No. 9840-055-999

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or  
first inventor:

Robert A. Conant

Inventor's signature:

Date:

Residence:

Citizenship:

Post Office Address:

OFFICIAL RECORD

Full name of second joint  
inventor, if any:

Jocelyn Nee

Inventor's signature:

Date:

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Full name of third joint  
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Kam Y. Lau

Inventor's signature:

Date:

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Citizenship:

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Full name of fourth joint  
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Richard S. Muller

Inventor's signature:

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Post Office Address: